IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Group Art Unit: 1762

Adams et al. Examiner: Elena Tsoy

Appl. No.: 10/717,246 Atty. Docket: IVGN 762.3 DIV

Filed: November 18, 2003 Confirmation No.: 7752

For: Method for preparing surfaceCustomer No.: 23358

For: Method for preparing surfacemodified semiconductive and metallic nanoparticles having enhanced dispersibility

in aqueous media

AMENDMENT UNDER 37 C.F.R. § 1.111

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir

This is a response to the Office Action mailed on August 31, 2006 and is being submitted on or before the initial period for response of November 30, 2006. In the event that this response in not deemed to be timely filed, Applicants hereby petition for the necessary extension of time under 37 C.F.R. § 1.136(a). The commissioner is hereby authorized to charge any fee or credit any overpayment associated with this or any other filing to Deposit Account No. 13-3900. This is not an authorization to pay the issue fee.

The Examiner is respectfully requested to reconsider the Office Action in view of the following:

Amendments to the Specification begin on page 2 of this paper.

Amendment to the Claims begin on page 3 of this paper.

Remarks begin on page 6 of this paper.

A Statement of Joint Research Agreement begins on page 11 of this paper.